Thursday October 18th 2007
University Club, New York City

Meeting the Challenges of Global Compliance
Are we there yet?

In collaboration with:

EVERSHEDS  ACC AMERICA  The Metropolitan
Association of Corporate Counsel  Corporate Counsel
Meeting the Challenges of Global Compliance

Are we there yet?

We’ve come a long way with compliance efforts domestically, but for many organizations it’s an uphill battle to achieve solid compliance education, controls and response on a global basis. Just like a climb to the glacial summit, global corporate compliance requires excellent preparation, the right tools and the know how to use them, and the flexibility to adapt with changing conditions and terrain.

At this unique international conference, participants connect with top global corporate counsel, compliance officers and regulators to exchange best practices and hear about methods and tactics to improve compliance in global businesses. The one-day conference is action-packed with substantive workshops and interactive discussions. A stellar gathering of General Counsel, Chief Compliance Officers from global companies, as well as international and federal regulators, will share their views on reaching the peak of global compliance performance.

Why choose this conference?

- A unique, full-day conference focused on practical methods and approaches to managing the intricacies of global compliance – a complex challenge for every company.
- Hot topics tackled by expert speakers from leading corporate counsel and compliance officers with global companies including DuPont, FMC, Lehman Brothers, Marsh & McLennan, Nestlé and Tyco amongst others.
- Fantastic exchange and networking with your peers through best practice roundtables, interactive discussions and social time.
- A rare chance to hear the enforcement perspective first-hand, with presentations from SEC, DOJ and European Commission regulators.
- CLE credit, excellent handout materials, plus breakfast, lunch and cocktails – and no registration fee.

Conference materials

Delegates will receive detailed notes from the conference as well as the following guides:

Global Compliance
Ensuring you understand and comply with governance laws around the world.

Attorney-Client Privilege in Europe
Understanding how the relationship works.

Litigation in Europe
Helping you meet the challenges of class actions and other disputes.

European Dictionary of Selected Legal Terms
The words you need, the language you need them in.
Program

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8:30 Registration and breakfast

9:00 Introduction and welcome from David Gray, Chief Executive, Eversheds; and John Ogden, ACC NY Chapter

9:15-10:00 **Keynote Speech:** Implementing a Global Compliance Program During a Crisis
Scott Gilbert, Chief Compliance Officer, Marsh & McLennan

10:00-11:00 **Overcoming Obstacles to Effective Global Compliance**
- Compliance structures for multinationals.
- Practical elements: working with in-house and outside lawyers globally to address compliance challenges.
- Developing and maintaining a supportive culture.

**Panel:** Gardner Courson, former Deputy General Counsel, Tyco; Ed Papantonio, SVP, Compliance – Lehman Brothers; John Gatlin, General Counsel, Nestle US; and Thomas Kim, Global Head of Compliance Assurance, Reuters

11:00-11:20 Break

11:20-12:00 **Anti-Corruption in Global Compliance Programs**
- Finding the risks and addressing them effectively.
- How recent FCPA cases are affecting compliance programs.
- Monitoring corruption risks across multiple jurisdictions.

**Panel:** Daniel Kessler, Chief Counsel, Int’l Trade Controls, Wyeth; Richard Sibery, Partner, Fraud Investigation & Dispute Services, Ernst & Young; and Matthew Tanzer, Chief Counsel, Compliance and Regulatory Affairs, Tyco Int’l

Audience response questions – morning wrap-up

12:30-2:00 **Lunch and afternoon program**

**Cooperating with Legal and Regulatory Enforcement Officials**
- Regulation and enforcement trends.
- Coordination of regulatory policy and enforcement globally.
- How businesses can best approach regulatory inquiries and disputes.

**Speakers:** Pamela Jones Harbour, Commissioner, Federal Trade Commission; Jonathan Evans, Economic and Finance Committee, EU Parliament; and Peter Kiernan, former Deputy Director, Serious Fraud Office, UK

2:00-3:00 **Beware the Company You Keep:** Reducing Liability Risk with Distributors, Agents, Partners and Other Third Parties Globally
- What can go wrong – disputes and regulatory risks
- Due diligence – what is feasible?
- Compliance audits and education for third parties globally

**Panel:** Jeffrey Carr, General Counsel, FMC Technologies; and Tom Sager, GC – Litigation, DuPont

3:00-3:15 Break

3:15-4:30 **Industry Sector Roundtables:**
Best Practices in Global Compliance and Ethics: Making it Happen in Your Organization

**Roundtable facilitators: presenters and industry experts**

Each group will discuss responses to three questions to report back at the general session:
- What are the priorities for global compliance in your industry, based on recent enforcement trends?
- What are the best structures and approaches for in-house and outside resources to manage global compliance in your industry and respond to threats?
- On a global basis, how can you most effectively implement ethics and corporate responsibility efforts in tandem with compliance efforts?

4:30 **Conclusions from the Roundtables:**
Wrap-Up and Closing Remarks

5:15 Reception
 Speakers

Thanks to the enthusiastic involvement of the conference partners – ACC Greater New York Chapter, the Metropolitan Corporate Counsel and Eversheds LLP – we have assembled an amazing group of speakers. They are highly qualified senior counsel and compliance officers at the forefront of global corporate compliance. Speakers will focus on case studies taken from experience and lessons learned in specific topic areas to provide maximum value to conference participants.

- Jeffrey Carr
  Vice President, General Counsel and Secretary,
  FMC Technologies Inc.

- Gardner Courson
  Former Vice President and General Counsel,
  Tyco Fire & Security

- Jonathan Evans
  Economic and Finance Committee, EU Parliament

- John Gatlin
  Senior Vice President and General Counsel,
  Nestlé USA

- Scott Gilbert
  Senior Vice President and Chief Compliance Officer,
  Marsh & McLennan

- David Gray
  Chief Executive, Eversheds LLP

- Pamela Jones Harbour
  Commissioner, Federal Trade Commission

- Daniel Kessler
  Chief Counsel, International Trade Controls,
  Wyeth

- Peter Kiernan
  Partner, Eversheds LLP and former Deputy Director,
  Serious Fraud Office, UK

- Thomas Kim
  Senior Vice President, Global Head of Compliance Assurance and Principal Legal Counsel, Reuters

- Ed Papantonio
  Senior Vice President and Senior Compliance Officer,
  Lehman Brothers

- Tom Sager
  Vice President and Assistant General Counsel and Chief Litigation Counsel, DuPont

- Richard Sibery
  Partner, Fraud Investigation & Dispute Services,
  Ernst & Young LLP

- Matthew Tanzer
  Vice President and Chief Counsel Compliance and Regulatory Affairs, Tyco International

- Pamela Jones Harbour
  Commissioner, Federal Trade Commission

This NY conference is nearly 100% booked. If you are not able to attend, please contact us immediately so we may give your space to a waitlisted registrant.
Contact: adamkennedy@eversheds.com

Silver Sponsor: Ernst & Young

Quality In Everything We Do
Venue information

Thursday October 18th 2007
The University Club, One West 54th Street, New York, NY 10019

Directions
The University Club is located on the northwest corner of 54th Street at the intersection of 5th Avenue. Entrance is on 54th Street.

From the Lincoln Tunnel:
1. Take the Lincoln Tunnel East to New York
2. At the end of the Tunnel, bear left to 40th Street (1st light)
3. At 40th Street, make a right proceeding east to Ave of the Americas (6th Avenue)
4. At Ave of the Americas make a left and proceed to 54th Street, make a right
5. The Club is at the end of the block to your left.

From New England:
1. Take Interstate Route 95 South to the Bruckner Expressway
2. Follow signs to Triboro Bridge and look for the FDR Drive
3. Take FDR Drive South to 49th Street exit
4. Go west on 49th Street to Ave of the Americas
5. At Ave of the Americas make a right and proceed to 54th Street
6. At 54th Street make a right
7. The Club is at the end of the block to your left.

Dress code
Within the University Club delegates are advised that formal business wear will be required at all times.

For further information, please contact:
Adam Kennedy
Tel: 011 44 20 7919 4846
E-mail: adamkennedy@eversheds.com

Laura Ottley
Tel: 011 44 20 7919 4955
Cell: +44 787 647 7686
E-mail: lauraottley@eversheds.com

CLE credits available
We will award New York CLE accreditation. The approximate number of hours available and their breakdown is:

Ethics and professionalism: 3 hours
Skills: 2 hours
Areas of professional practice: 2 hour

Cancellations
If you are a confirmed registrant and find you are unable to attend this conference, it is important that you let us know as soon as possible so that we can give your space to a registrant on the waiting list.
If you must cancel within a week of October 18th, please make every effort to send a colleague from your company in your place.

In collaboration with:

www.eversheds.com/globalcompliance
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